FOREST STAND SEGMENTATION USING AIRBORNE LIDAR DATA
AND VERY HIGH RESOLUTION MULTISPECTRAL IMAGERY

Clément Dechesne, Clément Mallet, Arnaud Le Bris, Valérie Gouet, Alexandre Hervieu
IGN / SRIG - Laboratoire MATIS - Université Paris Est - Saint-Mandé, FRANCE
firstname.lastname@ign.fr

Commission III - WG III/2

KEY WORDS: lidar, multispectral imagery, fusion, feature extraction, supervised classification, energy minimisation, forest stand delineation, tree species.

ABSTRACT:
Forest stands are the basic units for forest inventory and mapping. Stands are large forested areas (e.g., \( \geq 2 \) ha) of homogeneous tree species composition. The accurate delineation of forest stands is usually performed by visual analysis of human operators on very high resolution (VHR) optical images. This work is highly time consuming and should be automated for scalability purposes. In this paper, a method based on the fusion of airborne laser scanning data (or lidar) and very high resolution multispectral imagery for automatic forest stand delineation and forest land-cover database update is proposed. The multispectral images give access to the tree species whereas 3D lidar point clouds provide geometric information on the trees. Therefore, multi-modal features are computed, both at pixel and object levels. The objects are individual trees extracted from lidar data. A supervised classification is performed at the object level on the computed features in order to coarsely discriminate the existing tree species in the area of interest. The analysis at tree level is particularly relevant since it significantly improves the tree species classification. A probability map is generated through the tree species classification and inserted with the pixel-based features map in an energetical framework. The proposed energy is then minimized using a standard graph-cut method (namely PQBO with \( \alpha \)-expansion) in order to produce a segmentation map with a controlled level of details. Comparison with an existing forest land cover database shows that our method provides satisfactory results both in terms of stand labelling and delineation (matching ranges between 94\% and 99\%).

1. INTRODUCTION
Fostering information extraction in forested areas, in particular at the stand level, is driven by two main goals: statistical inventory and mapping. Forest stands are the basic units and can be defined in terms of tree species or tree maturity. From a remote sensing point of view, the delineation of the stands is a segmentation problem. In statistical forest inventory, segmentation is helpful for extracting statistically meaningful sample points of field surveys and reliable features (basal area, dominant tree height, etc.) (Means et al., 2000, Kangas and Maltamo, 2006). In land-cover mapping, this is highly helpful for forest database updating (Kim et al., 2009). Most of the time, for reliability purposes, each area is manually interpreted by human operators with very high resolution geospatial images. This work is extremely time consuming. Furthermore, in many countries, the wide variety of tree species (around 20) makes the problem harder.

The use of remote sensing data for the automatic analysis of forests is growing, especially with the synergetic use of airborne laser scanning (ALS) and optical imagery (very high resolution multispectral imagery and hyperspectral imagery) (Torabzadeh et al., 2014).

Several state-of-the-art papers on automatic forest stand delineation with Earth Observation data have been already published. First, it can be achieved with a single remote sensing source. A stand delineation technique using hyperspectral imagery is proposed in (Leckie et al., 2003). The trees are extracted using a valley following approach and classified into 7 tree species (5 coniferous, 1 deciduous, and 1 non-specified) with a maximum likelihood classifier.

A stand mapping method using low density airborne lidar data is proposed in (Koch et al., 2009). It is composed of several steps of feature extraction, creation and raster based classification. Forest stands are created by grouping neighbouring cells within each class. Then, only the stands with a pre-defined minimum size are accepted. Neighbouring small areas of different forest types that do not reach the minimum size are merged together to a forest stand.

The forest stand delineation proposed in (Sullivan et al., 2009) uses low density airborne lidar for an object-oriented image segmentation and supervised classification. Three features (canopy cover, stem density, and average height) are computed and rasterized. The segmentation is performed using a region growing approach. Spatially adjacent pixels are grouped into homogeneous discrete image objects or regions. Then, a supervised discrimination of the segmented image is performed using a Bhattachary classifier, in order to define the maturity of the stands (i.e., the labels correspond to the maturity of the stands).

A forest stand delineation based on high density airborne lidar data is also proposed in (Wu et al., 2014). Three features are first extracted from the point cloud; the tree size indicator (TSI), the forest density index (FDI), and the tree species indicator (SPI). The TSI is 85\% of the canopy height model values with a spatial resolution of 4 m \( \times \) 4 m per pixel. The FDI is the percentage of non-ground points over all points, at each pixel of 4 m \( \times \) 4 m size. The SPI is obtained from the point cloud using 3D alpha shape techniques and principal component analysis. A coarse forest stand delineation is then performed on the 3-band feature image using the Mean-Shift algorithm, with high value of the parameters in order to obtain under-segmented raw forest stands. A forest mask is then applied to the segmented image in order to retrieve forest and non-forest raw stands. It may create some small isolated areas that will be merged to their most similar neighbour until their size is larger than a user-defined threshold. The forest stands are then refined. But, instead of using the original pixels from the 3-band feature image, superpixels are generated with
Lidar point clouds
VHR multispectral image
Features
Classification
Segmentation based on regularisation
Individual trees
Training set

Figure 1. Flowchart of the proposed method. Colour codes: Douglas, spruce, woody heathland.

TSI, FDI and SPI features. Forest stand refinement is achieved with a seeded region growing approach. This method provides a coarse-to-fine segmentation with relatively large stands.

Other methods using the fusion of different types of remote sensing data have also been developed. Two segmentation methods are proposed in (Leppänen et al., 2008) for a forest composed of Scots Pine, Norway Spruce and Hardwood. A hierarchical segmentation on crown height model and a limited iterative region growing approach on composite of lidar and Coloured Infra-Red (CIR) images. The second method proposes a hierarchical segmentation on crown height model where each image object is connected both to upper and lower level image objects. This allows to consider final segments onto the finest segmentation level, like recognizing individual trees in the area.

The analysis of the lidar and multispectral data is performed at three levels in (Tiede et al., 2004). The first level represents small objects (single tree scale; individual trees or small group of trees) that can be differentiated by spectral and structural characteristics using a rule-based classification. The second level corresponds to the stand. It is built using the same classification process which summarizes forest-development phases by referencing to small scale sub-objects at level 1. The third level is generated by merging objects of the same classified forest-development into larger spatial units. This method produces a mapping guide in order to assess the forest development phase (i.e., the labels do not correspond to tree species).

Since the stands are the basic unit for statistical inventory some segmentation methods for that purpose have been developed in (Diedershagen et al., 2004) and (Hernando et al., 2012).

With respect to existing methods, it appears that there are no forest stand segmentation method based on tree species that can satisfactorily handle a large number of classes (>5). It also appears that working at the object level (usually tree level), in order to discriminate tree species, produces better the stand segmentation results. Several methods for tree species classification at tree level have been investigated in (Heinzel and Koch, 2012), (Leckie et al., 2003) and (Dalponte et al., 2015a). However, is likely to be imprecise, resulting in an inaccurate tree classification. However, the output of the classification of tree species at tree level may be used for forest stand delineation.

In this paper, a method for species-stand segmentation is proposed. The method is composed of three main steps: Features are first derived at the pixel and at the tree level. The trees are extracted using a simple method, since this appears to be sufficient for subsequent steps. A classification is performed at the tree level as it significantly improves the discrimination results (about 20% better than the pixel-based approach). This classification is then regularised through an energy minimisation. The regularisation, performed with a graph-cut method, produces homogeneous tree species areas with smooth borders.

The paper is structured as follows: the method is presented in Section 2. In this section, the feature extraction, the classification and the regularisation are presented. The method is evaluated in Section 3. The dataset is presented followed by the results of the method. Finally, a conclusion is proposed in Section 4. with a summary of the method and the forthcoming improvements of the method.

2. METHOD

The proposed method is composed of three main steps. First, 12 features are derived from the ALS and multispectral data (Section 2.1). They are computed at the pixel level as it is needed for the energy minimisation. They are also computed at the object level (trees); the features are more consistent at the tree level and significantly improve the accuracy of the classifiers. Then, the classification of tree species is performed using standard classifiers (Section 2.2). Finally, a smooth regularisation based on an energy minimisation framework is carried out in order to obtain the final segments (Section 2.3). A summary of the method is presented in Figure 1.

2.1 Feature computation

Feature computation is composed of several steps. The first step is individual tree extraction from the ALS point cloud, since the
features will be computed both at the pixel and object level. For the lidar data, features are computed at the point level and then rasterized using a pit-free method (see Section 2.1.4) at the resolution of the multispectral image. Multispectral features are also computed at the pixel level. The pixel-based feature maps are merged in order to create a global pixel-based feature map. Then, an object-based feature map is created using the pixel-based feature map and the extracted trees. The feature computation method is summarized in Figure 2. The various computed features are presented in Table 3 and described in Sections 2.1.2, 2.1.3 and 2.1.4.

![Feature extraction flowchart.](image)

**Figure 2. Feature extraction flowchart.**

<table>
<thead>
<tr>
<th>Lidar features</th>
<th>Multispectral features</th>
</tr>
</thead>
<tbody>
<tr>
<td>CHM</td>
<td>Reflectance in the red band</td>
</tr>
<tr>
<td>Vegetation density based on local tree top</td>
<td>Reflectance in the green band</td>
</tr>
<tr>
<td>Vegetation density based on ratio of ground points over non-ground points</td>
<td>Reflectance in the blue band</td>
</tr>
<tr>
<td>Planarity</td>
<td>Reflectance in the near-infra-red band</td>
</tr>
<tr>
<td>Scatter</td>
<td>NDVI</td>
</tr>
<tr>
<td></td>
<td>DVI</td>
</tr>
<tr>
<td></td>
<td>RVI</td>
</tr>
</tbody>
</table>

**Table 3. Computed features both at pixel and object levels.**

2.1.1 Tree extraction. Recently, tree delineation has been extensively investigated in the literature, see for instance (Dalponte et al., 2015b) and (Tochon et al., 2015) for the latest existing methods. Many methods exist and it is well known that there is no golden standard for individual tree crown delineation (Kaartinen et al., 2012). Here, accurate tree delineation is not the aim of the proposal but a geometrically meaningful over-segmentation technique for object-based image analysis and feature computation. Consequently and for scalability purposes, a simple method with few parameters is sufficient.

A coarse method is adopted: the tree tops are first extracted using a local maxima filter (from experiments, a 5 meter radius filter appears to be the best choice on the considered dataset). Only the points above 5 meters are retained. Points are aggregated to the tree tops according to their relative height to the tree top and distance from the closest point from the tree. An example of our tree delineation is presented in Figure 4.

![Result of the tree delineation.](image)

**Figure 4. Result of the tree delineation.** (a) Orthoimage (1 km²): the red square corresponds to the sub-area where the tree delineation results (b) are presented.

2.1.2 Point-based lidar features. Lidar-derived features (such as vegetation density, scatter and planarity) require a consistent neighbourhood for their computation. For each lidar point, 3 cylindrical neighbourhoods are used (1 m, 3 m and 5 m radius). Two vegetation density features are computed; one based on the number of local maxima within the neighbourhoods and an other related to the number of non-ground points within the neighbourhoods (ground points were previously determined by filtering). The scatter s and the planarity p are computed as follow (Weinmann et al., 2015):

\[
s = \frac{1}{3} \sum_{r \in \{1, 3, 5\}} \frac{\lambda_{2,r}}{\lambda_{1,r}} 
\]

\[
p = \frac{1}{3} \sum_{r \in \{1, 3, 5\}} 2 \times (\lambda_{2,r} - \lambda_{3,r}) 
\]

where \(\lambda_{1,r} > \lambda_{2,r} > \lambda_{3,r}\) are the eigenvalues of the ALS points within the cylindrical neighbourhoods of radius \(r\).

4 features are extracted during this step. These features provide information about the structure of the forest that is related to species it is composed of.

Some other features such as percentiles can be computed using the same method as they are known to be relevant for tree species classification (Dalponte et al., 2014, Torabzadeh et al., 2015). They are not used here in order to reduce the complexity of the method and the computation times.

2.1.3 Pixel-based multispectral features. The original 4 bands from the image are kept and considered as multispectral features. The Normalized Difference Vegetation Index (NDVI) (Tucker, 1979), the Difference Vegetation Index (DVI) (Bacour et al., 2006) and the Ratio Vegetation Index (RVI) (Jordan, 1969) are computed as they are relevant vegetation indicators. These indicators provide more information about the species than the original bands alone. Finally, the pixel-based multispectral features map is composed of 7 features. Some other feature could be processed such as texture features but were also not considered in this study order to reduce the complexity of the method and the computation times.

2.1.4 Pixel-based lidar features. The features computed in Section 2.1.2 are rasterized at the same resolution of the multispectral image using a pit-free method proposed in (Khosravipour et al., 2014). The Canopy Heigh Model (CHM) is also computed using this method. This rasterization method is interesting because it produces smooth images that will lead to better results for classification and regularization.
2.1.5 Object-based feature map. The pixel-based multispectral and lidar maps are merged so as to obtain a pixel-based feature map. Then, an object-based feature map is created using the individual trees and the pixel-based feature map. The value of a pixel belonging to a tree in the object-based feature map is the mean value of the pixels belonging to that tree. Otherwise, the pixel keeps the value of the pixel-based feature map (see Figure 6). In this paper, only the mean value of the pixels within the tree is envisaged but one can also consider other statistical values (minimum, maximum, percentiles etc.).

Other features could be derived from the lidar cloud point at the object-level. For instance, an alpha-shape can be performed on the individual trees and a penetration feature can be derived as it can help classifying tree species (some species let the lidar penetrate the canopy). However, low point densities (1-5 points/m²) compatible with large-scale lidar surveys are not sufficient in order to derive a significant penetration indicator.

Figure 5. Classification results; comparison of the classifiers and of the pixel and object based approach. Species colour codes: beech, chestnut, robinia, oak, Scots pine, Douglas, spruce, woody heathland, herbaceous formation.

2.2 Tree species classification

The classification is performed using a supervised classifier in order to discriminate tree species provided by the training set. Two classical classifiers are used and their accuracies will be compared: the Random Forest (RF), implemented in OpenCV (Bradski and Kaehler, 2008) and the Support Vector Machine (SVM) with radial basis function kernel, implemented in LIBSVM (Chang and Lin, 2011). Two strategies are carried out; one on the object-based feature map and the other on the pixel-based feature map. The 12 features (lidar and multispectral) are used for the classification. 4 scenario are considered: RF on the pixel-based feature map (RF-p), SVM on the pixel-based feature map (SVM-p), RF on the object-based feature map (RF-o), and SVM on the object-based feature map (SVM-o). For each classifier, 1000 samples per class are randomly selected from the manually delineated forest stands in order to train the model. The optimisation of the SVM parameters is carried out through a cross-validation. The outputs of the classification are a classification map and a probability map (probabilities of belonging to the class for each pixel/object). This probability map is one of the input for the regularisation step.

The classification results are presented for a 1 km² mountainous forest area in Figure 5. The accuracy is obtained by comparing classified pixel to all labelled pixel in the manual delineation. It appears clearly that the pixel-based approach leads to noisy label maps. Conversely, even if the tree extraction is approximative, the object-based feature map leads to a more spatially consistent classification. One can also see that both classifiers are equivalent in terms of discrimination results as stated in other studies (Du et al., 2012). In terms of accuracy, the RF-p performs the worse with 66% of well classified pixels. The SVM-p performs slightly better with 73% of correctly classified pixels. The SVM-o and RF-o perform the best with respectively 89% and 90% of well classified pixels. These same kind of results are observed on the other zones.

2.3 Regularisation

As shown in Figure 5, the classification is not sufficient to obtain homogeneous areas with smooth borders. Regularising the classification at the pixel level, through an energy minimisation framework, appears to be a good method to overcome this problem.

The energy model is a probabilistic graph taking into account the probabilities of belonging to the classes and the pixel-based feature map $A$. For an image $I$ and a classification $C$, the energy $E$ is formulated as follows:

$$E(I, C) = \sum_{u \in I} E_{\text{data}}(C(u)) + \gamma \sum_{u, v \in N} E_{\text{binary}}(C(u), C(v)),$$

with

$$\gamma \in [0, \infty],$$

$$E_{\text{data}}(C(u)) = f(P(C(u))),$$

$$E_{\text{binary}}(C(u) = C(v)) = 0,$$

$$E_{\text{binary}}(C(u) \neq C(v)) = V(u, v).$$

where $N$ is the 8-connectivity neighbourhood, and $P(C(u))$ is the probability that the pixel $u$ belong to class $C$. $E_{\text{data}}$ is related to the classification, if $E_{\text{data}}(C(u))$ is small, the pixel $u$ has a high probability to belong to the class $C$. $E_{\text{binary}}$ corresponds to the difference between the features of the pixel $u$ and the features of its neighbour. If $E_{\text{binary}}$ is important, the values of the features of the pixel $u$ are different from the one of its neighbour. The total energy expresses how good the pixel

Figure 6. Difference between the pixel-based feature map and the object-based feature map of the sub area of Figure 4(b). Black color corresponds to low vegetation density area and white color to high vegetation density area.
is classified and how close its features are from the ones of its neighbours.
Some other methods such as Conditional Random Fields (CRF) could be envisaged for the expression of the energy (Volpi and Ferrari, 2015), $E_{\text{binary}}$ would then be expressed relatively to all the pixel within the image instead of only the 8-connectivity neighbourhood. They were not adopted here.

### 2.3.1 Data term

The function $f$ related to $E_{\text{data}}$ is the following:

$$f(x) = \frac{1 - x}{\lambda_p x + 1}, \quad x \in [0, 1],$$

$$\lambda_p \in ]-1, \infty[.$$

This function allows to control the importance we want to give to the raw classification step (see Figure 7). The more $\lambda_p$ is close to $-1$, the more the value of the energy will be important, even if the pixel has a high probability to belong to the class. Conversely, the greater $\lambda_p$ is, the less the value of the energy will be important, even if the pixel has a low probability to belong to the class. This parameter is highly relevant in case of complex tree species discrimination and a large number of misclassified pixels.

![Figure 7. Function related to $E_{\text{data}}$.](image)

### 2.3.2 Regularisation term

The regularisation term controls the value of the energy according to the value of the features within the 8-connectivity neighbourhood. Two pixels with different class labels but with close feature values are more likely to belong to the same class than two pixels of different classes and different feature values. The value of the energy should be near to $0$ when the feature values are close and increase when they are different. The binary energy is expressed as follows:

$$V(u, v) = \sum_{i} \{1 - \exp(-\lambda_i ||A_i(u) - A_i(v)||_2)\}, \quad (5)$$

where $i$ is related to a feature.

$\lambda_i$ is a vector of length equal to the number of computed features. This vector allows to assign different weights to the different features. If $\lambda_i = 0$, the feature will not be taken into account in the regularisation process. The more $\lambda_i$ is important, the more a small difference in the feature value will lead to an increase of the energy. The features are of different types (height, reflectance, density, etc.), it is therefore important to have a term in $[0, 1]$ for each feature, even if they do not have the same range. In the next experiment, $\lambda_i$ is set to $1$ for the 4 multispectral bands, NDVI, height and $\lambda_i$ was set to $0$ for the other features. These 6 features were arbitrarily chosen. Additional investigation on the value of the $\lambda_i$ will be conducted in the future.

### 2.3.3 Energy minimisation

The energy minimisation is performed by quadratic pseudo-boolean optimization (QPBO) method with $\alpha$-expansion. The QPBO is a popular and efficient graph-cut method as it efficiently solve energy minimization problems by constructing a graph and computing the min cut (Kolmogorov and Rother, 2007). The $\alpha$-expansion allows to deal with multiclass problems (Kolmogorov and Zabih, 2004).

The effect of $\gamma$ is presented in Figure 8. When $\gamma = 0$, the energy minimisation has no effect; the most probable class is attributed to the pixel. When $\gamma \neq 0$, the result is more homogeneous. One can also see that the border of the homogeneous zones are smoother when $\gamma$ increases. However, the greater $\gamma$ is, the smaller area might be merged with the larger ones. In order to have a good compromise between smooth borders and consistent areas, $\gamma$ should be in $[1, 3]$.

### 3. Evaluations

In this section, the test area is first presented. The results are then discussed and the computation times of our method are presented.

#### 3.1 Data

The test area is a mountainous forest in the East of France. 8 areas of $1 \text{ km}^2$ composed of a wide range of tree species are processed (see Figure 13). The multispectral images have 4 bands; 430-550 nm (blue), 490-610 nm (green), 600-720 nm (red) and 750-950 nm (near infra-red) at 0.5 m spatial resolution. The average point density for airborne lidar data is 3 points/m$^2$. Data was acquired under leaf-on conditions.

The lidar data was pre-processed: the point cloud was filtered in order to remove outliers and determine ground points. The ground points were extrapolated so as to derive a Digital Terrain Model (DTM) at 1 m resolution. Then, the DTM was subtracted from the point cloud since a normalized point cloud is necessary in order to perform the coarse tree delineation.

The multispectral images and ALS data are perfectly co-registered but were acquired at different epochs. This may imply some minor errors that have no real impact on our results.

The polygons delineated by photo-interpreters were used in order to train the classifiers and also to evaluate the results. Only the polygons containing at least 75% of a species were used for the classification. The polygons of natural bare soils (woody heathland and herbaceous formation) are also used for the classification. As it is based only on species, the ground truth used will only cover a small part of the area (the test areas contain also stands of mixed species). Each of the 8 areas were processed separately. The 40 polygons cover only a small part of the areas and thus, only few species are available for the classification. Additionally, stands borders resulting from the methods might not match with the border of the polygons.

#### 3.2 Results

The results presented in this section were produced using the RF-o classification as it provides the best tree species classification. The regularisation was performed with $\lambda_1 = 1$ and $\lambda_2 = 1$ for the 4 multispectral bands, NDVI, height and $\lambda_0 = 0$ otherwise. Only the effect of the variation of $\gamma$ is investigated. The results are presented in Figure 13. The values tested for $\gamma$ are 1, 2 and 3 for the 8 areas. For the 3 values of $\gamma$, the results are close; the main difference relies on the small homogeneous tree species that might be removed if $\gamma$ is important (e.g., small Scots pine and Douglas areas in Area 1). From a visual point of view, the method performs well; the areas are homogeneous in terms of tree species and have smooth borders that correspond to real forest variations. The matching of the polygons obtained after regularisation with the ones from the manual delineation ranges between 94% and 99% (results are presented for each area in Table 9), compared to a matching $\leq$ 90% after classification. The matching ratio is obtained by comparing the well classified pixel
to all labelled pixel in the manual delineation. The improvements also concern the homogeneity of the segments delineated automatically. Segmentation results are much less noisy than the classification results. The errors occur at the borders between the delineated stands. There are two kinds of errors: (i) the ones due to the uncertainty of the borders, and (ii) the ones due to misclassification (see Figure 10). The uncertainty of the borders is related to the generalisation of our ground truth. The manual and the automatic delineation are performed at the same spatial resolution, this implies inevitable small shifts at the borders of the stands.

<table>
<thead>
<tr>
<th>Area</th>
<th>Segmentation accuracy</th>
</tr>
</thead>
<tbody>
<tr>
<td>Area 1</td>
<td>98.7%</td>
</tr>
<tr>
<td>Area 2</td>
<td>99.0%</td>
</tr>
<tr>
<td>Area 3</td>
<td>99.6%</td>
</tr>
<tr>
<td>Area 4</td>
<td>98.2%</td>
</tr>
<tr>
<td>Area 5</td>
<td>97.1%</td>
</tr>
<tr>
<td>Area 6</td>
<td>98.7%</td>
</tr>
<tr>
<td>Area 7</td>
<td>94.2%</td>
</tr>
<tr>
<td>Area 8</td>
<td>99.3%</td>
</tr>
</tbody>
</table>

Table 9. Matching of the polygons obtained after regularisation with the ones from the manual delineation.

Figure 10. Differences between the human delineation and our segmentation. In (a), white is a correct classification of species, black is a wrong classification and grey is unknown (i.e., no polygon). In (b), erroneous areas are superposed on the orthoimage, blue corresponds to errors due to the uncertainty of the border, red corresponds to misclassification.

Figure 11 shows how well our method fits to the forest borders. Some borders are modified and are more consistent visually. Stands that were not delineated by human operators are found with our method. However, some forested areas are merged to non-forested areas. These errors can easily be explained: the ground truth contains wrong data such as trees in non-forested areas, that leads to misclassification. This example also shows that the open forest (canopy cover between 10% and 40%) raises problems as they are merged to non-forested areas. The case of open forest should be considered separately (see Section 4.).

Figure 11. Delineation of the stands (in blue) superposed to the IRC orthoimage (area 7 in Figure 13). (a) Delineation by human operator. (b) Automatic delineation. 1: training error, 2: new forest stands, 3: new border more consistent visually, 4: forested areas merged with herbaceous formation, 5: open forest (canopy cover between 10% and 40%) labelled as woody heathland.

3.3 Computation times
The computation times, averaged over the 8 zones, are summarized in Table 12. The most time consuming step is related to the processing of the ALS data, and especially the computation of the feature. However, the code could be improved in order to reduce the computation times. For the classification, the RF performs faster than the SVM and, since the tree species classification are close for both classifiers (see Section 2.2), the use of the RF classifier more relevant.

<table>
<thead>
<tr>
<th>Computation time</th>
<th>Tree extraction</th>
<th>Per-point lidar features</th>
<th>Lidar features rasterization$^*$</th>
<th>Multispectral features$^*$</th>
<th>RF (training &amp; classification)</th>
<th>SVM (training &amp; classification)</th>
<th>Regularisation</th>
<th>Whole algorithm</th>
<th>Core i7 @ 3.40 GHz</th>
</tr>
</thead>
<tbody>
<tr>
<td>Time</td>
<td>1 h 30 min</td>
<td>30 min</td>
<td>7 h</td>
<td>1 h</td>
<td>2 min</td>
<td>15 min</td>
<td>10 min</td>
<td>~ 10 h</td>
<td></td>
</tr>
</tbody>
</table>

Table 12. Mean computation times (over the 8 areas) for a 1 km$^2$ zone on a Intel® Core™ i7 @ 3.40 GHz.

* computed both at pixel and object levels at the same time.

The code for feature computation has not been optimized.
4. CONCLUSION

4.1 Discussion

In this paper, a 3-step method for forest stand delineation according to tree species was proposed. The fusion of ALS data and multispectral images produces good results since both remote sensing modalities provide complementary observations. The final segments have a good matching with the segment delineated by human operators. The method relies on the computation of lidar and multispectral features at different levels (pixel and tree) for a supervised classification of tree species. Good discrimination scores are already obtained with standard features and classifiers, which is a strong basis for accurate delineation. A regularisation was then performed through an energy minimisation in order to obtain homogeneous areas in terms of species and smooth borders. This energy is formulated according to both classification results and feature values. It is highly helpful in order to control the level of details required for the segments, which depends on the inventory or land-cover database specifications.

4.2 Forthcoming improvements

A first improvement is on the feature computation; some other features could be extracted in order to improve the classification on both multispectral and ALS data (Torabzadeh et al., 2015). Investigation should also be conducted about the energy formulation and especially the choice of the relevant features and the automatic tuning of their related $\lambda_i$. Some experiment should also be conducted to see the effect of $\lambda_p$ on the result of the regularisation and how it can be automatically tuned.

More experiments should also be conducted in order to assess the benefit of the object-level classification on the final result. Since the aim is to delineate forest stands according to tree species, the use of hyperspectral images might be interesting so as to obtain more information about the species. Some other vegetation indices can also be derived from hyperspectral data. The use of higher density ALS data ($\sim 10$ pts/m$^2$) might also improve the results of the method; trees would be extracted more precisely and some new structural features could be derived.

For land-cover mapping, the energetical framework will be modified in order to be able to retrieve other levels of hierarchical forest databases, with the same inputs (trees and species): mixed forests (not any of a single tree specie cover $> 75\%$) and open forests (canopy cover between 10% and 40%). Finally, during the training step of the classifiers, some pixels might not belong to the species of the concerned polygon (since polygons are pure at least at 75%) and only few classes are available. The model should be trained on a larger area and an unsupervised classification should be conducted in order to remove outliers and better tailor the training set.

REFERENCES


Figure 13. Results of the method for 8 areas of 1 km$^2$. The first column contains the orthoimage of the areas, the second contains the polygons delineated by photo-interpreters (black color corresponds to unlabelled data) and the third column contains the result of the regularisation. See Figure 5 for the colour codes.


